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2024香港資本市場論壇

《透過市場誠信和健全治理推動可持續發展》

Hong Kong Capital Markets Forum 2024
“Driving Growth with Market Integrity
and Sound Governance”



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(排序不分先後)

(The above sequence is in no particular order)

議程 Agenda

時間 Time 詳情 Details

09:00 - 09:25 **開幕儀式 Opening Ceremony**

09:00 奏唱國歌 Playing of the National Anthem

09:02 開幕大合照 Group Photo

09:05 開幕致辭 Opening Speech

林建興博士 Dr Kenneth Lam

論壇籌委會主席兼香港上市公司商會副主席

Chairman, Forum Organizing Committee cum Vice Chairman, The Chamber of Hong Kong Listed Companies

陳茂波先生，大紫荊勳賢 GBS, MH, JP The Honourable Paul Chan Mo-po, GBM, GBS, MH, JP

香港特別行政區政府財政司司長

Financial Secretary, the Government of the Hong Kong Special Administrative Region

09:20 **論壇啟動儀式 Kick-off Ceremony**

09:25 - 10:00 專題演講 Keynote Speech

2024 年經濟與資本市場展望

2024 Economic and Capital Markets Outlook

鄧智傑博士 Dr Jacky Tang

高盛(亞洲)有限責任公司 資產及財富管理部董事總經理

Managing Director, Asset & Wealth Management of Goldman Sachs (Asia) L.L.C.

10:00 - 11:00 專題討論(一) Panel Discussion I :

如何促進香港資本市場活力

Boosting Liquidity of Hong Kong Capital Markets

主持人 Moderator :

黃偉誠先生 Mr Stacey Martin Wong

華富建業國際金融有限公司 首席營運及風控總監

Chief Operating and Risk Officer, Quam Plus International Financial Limited

嘉賓 Panelists :

劉伯偉先生 Mr David Lau

摩根大通董事總經理 中國投資銀行聯席主管

Managing Director, Co-Head of China Investment Banking Coverage J.P. Morgan

林志成先生 Mr Gavin Lam

禹銘投資管理有限公司 董事及組合基金經理

Director and the Portfolio Manager, Yu Ming Investment Management Limited

陳昊飛博士 Dr Haofei Chen

海通國際研究有限公司 董事總經理 股票研究部主管

Managing Director, Head of Equity Research Department, Haitong International Research Limited

李建平先生 Mr Christophe Lee

新鴻基資本副行政總裁

Deputy CEO, Sun Hung Kai Capital Partners

11:00 - 11:25 **茶歇 Coffee Break**

11:25 - 12:25 專題討論(二) Panel Discussion II :
如何提升香港作為國際資產管理中心的競爭力
Enhancing the Role of Hong Kong as an International Asset Management Centre

主持人Moderator :

陳健先生 Mr Kin Chan

亞皆老街管理有限公司創始人
 Founder, Argyle Street Management Limited

嘉賓Panelists :

李惟宏議員 The Honourable Robert Lee Wai-wang

香港特別行政區立法會 (功能界別—金融服務界)
 Functional Constituency - Financial Services, The Legislative Council

董一岳博士 Dr Rocky Tung

香港金融發展局總監及主管 (政策研究)
 Director, Head (Policy Research), Financial Services Development Council

吳煒樑博士 Dr Anthony Ng

HKVAX 聯合創始人兼行政總裁
 Co-Founder & CEO, Hong Kong Virtual Asset Exchange Limited (HKVAX)

莊天龍先生 Mr Benny Chong

鼎珮集團主席
 Chairman, VMS Group

伍尚仁先生 Mr Ronnie Wu

盆景資產管理聯合創辦人兼首席投資官
 CIO and Co-Founder, Penjing Asset Management

譚新強先生 Mr Eddie Tam

中環資產投資基金 (CAI) 創辦人、行政總裁兼投資總監
 CEO, CIO, Central Asset Investments

12:25 - 12:45 **小休 Break**

12:45 - 14:30 **午餐會 Luncheon**

13:00 午餐會演講 Luncheon Speech

提升香港作為國際金融中心的競爭力與吸引力

Enhancing the competitiveness and attractiveness of Hong Kong as an IFC

唐家成博士 GBS, JP Dr Carlson Tong GBS, JP

促進股票市場流動性專責小組主席
 Chairman, Task Force on Enhancing Stock Market Liquidity

13:20 頒發紀念品 Presentation of Appreciation

13:30 閉幕致辭 Closing Speech

陳錦榮太平紳士 Mr Clement Chan BBS, MH, JP

香港上市公司審核師協會主席
 Chairman, Hong Kong Association of Registered Public Interest Entity Auditors Limited

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前言

2023年是香港走出疫情陰霾的關鍵之年。綜觀環球經濟，全球經濟前景不明朗、地緣政治情況不穩等因素無可避免地影響了香港資本市場的發展。雖經歷挑戰，但香港仍然憑藉其獨特的優勢、創新能力和韌性守住了香港資本市場的根基。踏入2024開局之年，香港上市公司商會、香港上市公司審核師協會和香港董事學會攜手合作，共同協辦首屆《2024香港資本市場論壇：透過市場誠信和健全治理推動可持續發展》，旨在探討2024年全球、中國和香港經濟的前景、面臨的挑戰和香港可把握的機遇。

面對多重宏觀經濟不確定性的情況下，作為一個國際金融中心，香港更需把握明確資本市場的發展方向，不僅需要在全球金融市場中保持競爭力，更需要在可持續發展方面樹立標竿。香港是連接中國內地和世界的橋樑，為投資者提供了豐富的全球投資機會，加上香港與內地之間的互聯互通機制，為國際投資者提供了更多進入內地市場的管道。未來，香港該怎樣明確自己的定位和目標，發展自身和融入國家發展大局，也是本次論壇的焦點話題。

本次論壇匯聚了國內外的專家學者、業界領袖和政府代表，共同關注市場誠信、健全治理和可持續發展對香港資本市場持續繁榮的重要性。同時，又就着2024年經濟與資本市場展望、促進香港資本市場活力及提升香港作為國際資產管理中心的競爭力等多方面，分享他們的真知灼見。

論壇為業界提供一個寶貴的平台，以多元角度深入剖析香港資本市場的現況及未來趨勢；研究在面臨貨幣波動和地緣政治等挑戰下，香港如何化危為機，推動香港的可持續發展，以期為香港資本市場的發展提供有價值的意見和建議。

此次活動亦與亞洲金融論壇 (Asian Financial Forum, AFF) 和國際金融周 (International Financial Week, IFW) 的活動相呼應，這種聚焦和配合將有助於進一步鞏固香港作為國際金融中心的地位，並為推動全球金融合作和可持續發展作出貢獻。

資本市場是現代經濟體系的核心，而市場誠信和健全治理則是維護市場穩定和促進可持續發展的基石。香港正迅速恢復生機和活力，我們相信，通過這次論壇的討論和交流，能夠深化對這些重要議題的理解，並為香港資本市場的未來發展提供有價值的建議和方向，讓業界可共同迎接未來的挑戰，並開創更加繁榮和可持續的金融未來。

陳錦榮 太平紳士

香港上市公司審核師協會
主席

林建興 博士

香港上市公司商會副主席暨
論壇籌委會主席

陶榮 博士

香港董事學會
主席

Preface

2023 is a critical year for Hong Kong to emerge from the haze of the epidemic. Taking a holistic view of the global economy, factors such as the uncertain global economic outlook and unstable geopolitical conditions have inevitably affected the development of Hong Kong's capital market. Despite the challenges, Hong Kong has still maintained the foundation of its capital markets with its unique advantages, innovation capabilities and resilience. Entering the year 2024, The Chamber of Hong Kong Listed Companies (CHKLC), The Hong Kong Association of Registered Public Interest Entity Auditors Limited (PIEAA) and The Hong Kong Institute of Directors (HKIoD) have joined forces to co-organize the inaugural "Hong Kong Capital Markets Forum 2024: Driving Growth with Market Integrity and Sound Governance", aiming to explore the prospect of the global, Chinese and Hong Kong economies, the challenges they face and the opportunities that Hong Kong can seize.

Facing multiple macroeconomic uncertainties, as an international financial centre, Hong Kong needs to grasp the clear development direction of the capital markets. It not only needs to maintain competitiveness in the global financial markets, but also needs to set a benchmark in sustainable development. Hong Kong is a bridge connecting mainland China and the world, providing investors with a wealth of global investment opportunities. Coupled with the interconnection mechanism between Hong Kong and the mainland, it provides international investors with more channels to tap into the mainland market. In the future, how Hong Kong should define its positioning and goals, develop itself and integrate into the overall development of China is also a featured topic of this forum.

This forum brings together domestic and foreign experts, scholars, industry leaders and government representatives to focus on the importance of market integrity, sound governance and sustainable

development to the continued prosperity of Hong Kong's capital markets. At the same time, they will also share their insights into the economic and capital markets outlook for 2024, promoting the vitality of Hong Kong's capital markets, and enhancing Hong Kong's competitiveness as an international asset management center.

The forum provides a valuable platform for the industry to deeply analyse the current situation and future trends of Hong Kong's capital markets from multiple perspectives; to study how Hong Kong can turn crises into opportunities and promote Hong Kong's sustainable development in the face of challenges such as currency fluctuations and geopolitics, in order to provide useful opinions and suggestions for the development of Hong Kong's capital markets.

This event also echoes the events of the Asian Financial Forum (AFF) and International Financial Week (IFW). This highlight and correlation will help further consolidate Hong Kong's status as an international financial center and contribute to promoting global financial cooperation and sustainable development.

Capital markets are the core of the modern economic system, and market integrity and sound governance are the cornerstones for maintaining market stability and promoting sustainable development. Hong Kong is rapidly regaining its vitality and dynamics. We believe that through discussions and exchanges at this forum, we can deepen our understanding of these important issues and provide valuable suggestions and directions for the future development of Hong Kong's capital market, so that the industry can jointly embrace the challenges ahead and create a more prosperous and sustainable financial future.

Clement CHAN Kam-wing, JP

Chairman, Hong Kong Association
of Registered Public Interest Entity
Auditors Limited

Dr. Kenneth Lam Kin-hing

Chairman, the Forum Organizing
Committee cum Vice Chairman, the
Chamber of Hong Kong Listed Companies

Dr. Christopher To Wing

Chairman, The Hong Kong
Institute of Directors

協創商機 同籌遠略



香港特別行政區 行政長官
李家超 先生

大紫荊勳賢, SBS, PDSM, PMSM

鴻才睿智

卓識宏圖



香港特別行政區 財政司司長
陳茂波 先生
大紫荊勳賢, GBS, MH, JP

駿業宏通 利澤金融



行政會議召集人 立法會議員
葉劉淑儀 女士
大紫荊勳賢 GBS太平紳士

嘉惠工商

集賢匯雋



立法會議員
(功能界別 - 金融服務業界)
李惟宏 議員

匯聚商賢 融通中外



證券及期貨事務監察委員會主席
雷添良 先生
金紫荊星章，太平紳士

引領經濟 群策匯智



會計及財務匯報局主席
黃天祐 博士
銀紫荊星章，太平紳士

嘉惠業界

專業卓識



香港交易所聯席營運總監
陳翊庭 女士

利眾興商 團結同業



促進股票市場流動性專責小組主席
唐家成 博士
金紫荊星章，太平紳士

籌委會主席獻辭

本人謹代表籌委會，誠摯歡迎各位出席首屆於2024年舉行的《香港資本市場論壇：透過市場誠信和健全治理推動可持續發展》。在此感謝主禮嘉賓、講者及各支持單位給予本論壇的全力支持；同時衷心致謝各贊助商的慷慨贊助，使本次論壇得以順利舉行。

地緣政治波動為環球經濟帶來更多不穩定性，香港面對的外圍環境變得更加複雜。在國際新形勢下，香港資本市場的未來趨勢如何？我們又應該怎樣更好地吸引國內外投資者，探索創新的金融和商業模式，同時鞏固香港的獨有優勢，以應對挑戰及把握機遇？香港上市公司商會、香港上市公司審核師協會及香港董事學會為此聯手舉辦首屆香港資本市場論壇，秉承共同的目標和信念，冀共同探索香港資本市場的發展路線。香港上市公司商會強調香港資本市場的長遠健康發展及高效運作，香港上市公司審核師協會重視上市公司的穩健性和透明度，香港董事學會著重企業管治及董事操守，在這樣的相同共識下，我們策動了這次具代表性和影響力的合作，三會首次創建一個跨界交流的論壇平臺，冀結合各方的專業知識和市場案例，為資本市場的可持續發展注入動力。

是次論壇聚焦多方議題，強調市場誠信和健全治理是香港資本市場發展的重要基石。當中的專題討論圍繞如何促進香港資本市場的活力及如何提升香港作為國際資產管理中心的競爭力兩大主題。香港作為一個自由開放的經濟體，憑藉完善的法規及監管治理，一直維持著公正、透明、有序的市場環境。我們將討論到香港可如何進一步提升市場的活力和流動性，包括完善市場監管、喜迎內地和海外資本，推動創新科技應用等。同時，

對於強化國際資產管理中心之地位，我們將探討香港可如何充實金融產品的多樣性，加強香港與國際和內地的經濟和金融聯系，深入拓展資產和財富管理業務，以鞏固香港作為領先國際金融中心的優勢。

通過舉辦本論壇，聯合主辦方希望為業界提供一個平臺，讓大家就市場誠信、健全治理、可持續發展等相關議題發表意見，互相交流和討論，分享最佳實踐和創新發展方向。這將提高市場參與者對市場誠信的重視和理解，吸引更多資本流入市場，促進經濟的健康發展。同時，這也有助於推動資本市場向可持續發展方向轉型，鞏固香港市場的集資和資產管理能力，提高香港的長期競爭力和經濟的可持續性。

最後，是次論壇得以順利圓滿完成，全賴各界的鼎力支持及幫助。本人謹藉此機會代表籌委會衷心至誠感謝各個支持單位、主禮嘉賓、講者，同時亦感謝到場支持的每位來賓，你們的寶貴意見為我們在闡釋和應對當前和未來面臨的挑戰上提供了重要的啟示和啟發。讓我們攜手合作，充分發揮香港的獨特優勢，共同守護香港資本市場，確保經濟的繁榮和穩定。

林建興 博士

籌委會主席兼香港上市公司商會

副主席

Message from Chairman of the Organizing Committee

On behalf of the Organizing Committee, I sincerely welcome you to the inaugural “Hong Kong Capital Market Forum 2024: Driving Growth with Market Integrity and Sound Governance”. I would like to thank all supporting organizations, officiating guests, and speakers for their full support of this forum. I would also like to express my gratitude to all sponsors for their generous sponsorship, without which this forum cannot be successfully held.

Geopolitical tensions have brought more instability to the global economy, and the external environment Hong Kong is facing has become more complex. Under the new international situation, what are the prospects of the Hong Kong capital markets? How should we better attract domestic and foreign investors, explore innovative financial and business models, and at the same time consolidate Hong Kong’s unique advantages to cope with challenges and seize opportunities? The Chamber of Hong Kong Listed Companies (CHKLC), The Hong Kong Association of Registered Public Interest Entity Auditors Limited (PIEAA), and The Hong Kong Institute of Directors (HKIoD) are pleased to jointly-organise the inaugural Hong Kong Capital Markets Forum, upholding common goals and beliefs, and hoping to collaboratively explore the development path of Hong Kong’s capital markets. CHKLC emphasises the long term healthy growth and efficient operation of Hong Kong’s capital markets, PIEAA attaches great importance to the integrity and transparency of listed companies; while HKIoD focuses on corporate governance and director professionalism. With this consensus, the three organisations join forces in initiating a representative and influential partnership, and have for the first time created a cross-sectors communication platform, aiming to integrate the professional knowledge and market cases of all parties to inject impetus into the sustainable development of the capital markets.

This forum focuses on various issues and emphasises that market integrity and sound governance are important cornerstones of the development of Hong Kong’s capital markets. The panel discussions centre on two major themes: how to promote the vitality of Hong Kong’s capital markets and how to enhance Hong Kong’s competitiveness as an international asset management center. As a free and open economy, Hong Kong has always maintained a fair, transparent,

and orderly market environment with its sound laws, regulations, and regulatory governance. We will discuss how Hong Kong can further enhance market vitality and liquidity, including strengthening market regulations, attracting mainland and international capital, and promoting the application of innovative technologies. At the same time, to strengthen our position as an international asset management centre, we will study how Hong Kong can enrich the diversity of financial products, strengthen our economic and financial ties with the international and mainland markets, and further develop our asset and wealth management business, with a view to consolidating Hong Kong’s advantages as an international asset management centre.

By hosting this forum, the joint-organisers hope to provide a communication and discussion platform for the industry to express opinions on market integrity, sound governance, sustainable development, and other related issues, and share best practices and guidance on innovative development prospects. This will increase market participants’ awareness and understanding of market integrity, attract more capital to our markets, and promote the healthy development of the economy. Meanwhile, this will also help promote the transformation of the capital markets towards sustainable development, enhance their capital formation and asset management capabilities, and improve Hong Kong’s long-term competitiveness and economic sustainability.

At last, the successful hosting of this forum is not possible without the full support and help from all parties. On behalf of the Organising Committee, I would like to take this opportunity to sincerely thank all supporting organizations, officiating guests and speakers, as well as every guest who come to support us. Your valuable opinions would provide us with important insights and inspiration for interpreting and addressing the challenges we face now and in the future. Let us work together to fully utilize Hong Kong’s unique advantages and jointly safeguard the prosperity and stability of Hong Kong’s capital markets.

Dr Kenneth Lam

**Chairman, the Forum Organizing Committee
cum Vice Chairman, the Chamber of Hong
Kong Listed Companies**

主題演講嘉賓介紹 Keynote Speakers ' Profile



鄧智傑 博士 Dr Jacky Tang

高盛(亞洲)有限責任公司
資產及財富管理部董事總經理
Managing Director, Asset & Wealth Management of
Goldman Sachs (Asia) L.L.C.

鄧智傑博士是高盛亞洲投資管理部和亞洲投資策略部主管。鄧博士負責為亞洲高淨值和機構客戶提供集中式全權委託資產管理，並且為客戶提供戰略投資和戰術投資策略方面的建議。

在此之前，鄧博士曾擔任投資策略部的宏觀經濟策略師，研究亞太區經濟和宏觀策略。鄧博士於2012年加入高盛，並在2019年成為董事總經理。

入職高盛前，鄧博士曾就職瑞信，擔任其私人銀行和資產管理部門的大中華區股票研究主管。此前，他是美林的香港股票策略主管。更早前，鄧博士還曾擔任布萊文·霍華德資產管理公司 (Brevan Howard Asset Management) 的投資策略師，負責管理其新興市場對沖基金。

鄧博士是香港大學經濟及管理學院金融學客席教授，先後主持了一系列有關行為經濟學、可持續金融、金融市場、資產配置和投資管理的研討會。鄧博士持有上海財經大學經濟學博士學位和劍橋大學碩士學位。

Jacky is head of the Portfolio Management Group, head of the Portfolio Advisory Group and head of the Investment Strategy Group for Private Wealth Management (PWM) in Asia Pacific. He is responsible for centralized discretionary portfolio management for ultra high net worth and institutional clients across Asia Pacific. Jacky is also responsible for advising clients on strategic asset allocation and tactical investment strategies.

Previously, Jacky spent two years as a macro strategist in the Investment Strategy Group, covering Asia Pacific economics and macro strategy. He joined Goldman Sachs as an executive director in 2012 and was named managing director in 2019.

Prior to joining Goldman Sachs, Jacky worked at Credit Suisse, where he was head of Greater China Equity Research. Prior to that, he was head of Hong Kong Equity Strategy at Merrill Lynch. Earlier in his career, Jacky worked as an investment strategist at Brevan Howard Asset Management, managing an emerging markets hedge fund.

午餐會演講嘉賓介紹 Luncheon Speakers' Profile



唐家成博士 GBS, JP Dr Carlson Tong, GBS, JP

促進股票市場流動性專責小組
主席

Chairman, Task Force on Enhancing Stock Market
Liquidity

唐先生為現任渣打銀行、港鐵有限公司以及香港交易及結算所有限公司的獨立非執行董事。他也是國泰航空有限公司董事會的政府指定觀察員。

唐先生於2012至2018年擔任香港證券及期貨事務監察委員會（「證監會」）主席，2016至2022年擔任大學教育資助委員會主席。2007至2011年期間，他曾擔任畢馬威中國和香港主席，並於2009至2011年擔任該公司的亞太地區主席和全球董事會成員。

其他現任公職：

- 政府委任香港特別行政區行政會議成員、立法會議員及政治委任制度官員薪津獨立委員會主席
- 香港桂冠論壇委員會成員
- 香港投資管理有限公司董事會成員
- 司法人員推薦委員會成員

以往曾任公職：

- 英基學校協會主席 (2011-2015)
- 香港體育學院有限公司主席 (2012-2017)
- 僱員補償保險徵款管理局主席 (2007-2013)
- 香港聯合交易所有限公司主板及創業板上市委員會主席 (2006-2008) 及上市委員會成員 (2002-2006)
- 香港會計師公會副會長(2006)及理事會成員 (2001-2008)
- 香港中樂團有限公司理事會成員 (2001-2007)
- 金融領導委員會成員 (2017-2019)
- 香港外匯基金諮詢委員會成員 (2011-2020)
- 機場保安有限公司董事 (2019-2020)
- 人力資源規劃委員會成員 (2018-2022)
- 促進股票市場流動性專責小組主席 (2023)

獎項：

- 香港特別行政區政府金紫荊星章 (2019)
- ICAEW 傑出成就獎 (2022年)
- 香港教育大學榮譽社會科學博士 (2023)
- 香港科技大學榮譽工商管理博士 (2023)
- 嶺南大學榮譽院士 (2010)

Mr. Tong is an Independent Non-Executive Director of Standard Chartered PLC, MTR Corporation Limited and the Hong Kong Exchanges and Clearing Limited. He is also the government designated observer on the board of Cathay Pacific Airways Limited.

Mr Tong served as the Chairman of the Hong Kong Securities and Futures Commission ("SFC") from 2012 to 2018 and Chairman of the University Grants Committee from 2016 to 2022. He was also the Chairman of KPMG in China and Hong Kong from 2007 to 2011 and the Chairman of the Asia Pacific region and a member of the global board from 2009 to 2011.

Other current appointments:

- Chairman, Independent Commission on Remuneration for Members of the Executive Council and the Legislature, and Officials under the Political Appointment System of the HKSAR
- Board Member, Academy of HKSAR Finance
- Member, Council of the Hong Kong Laureate Forum
- Board member - The Hong Kong Investment Corporation Ltd
- Member, The Judicial Officers Recommendation Commission

Past appointments:

- Chairman, English Schools Foundation (2011-2015)
- Chairman, Hong Kong Sports Institute (2012-2017)
- Chairman, Employees' Compensation Insurance Levies Management Board (2007- 2013)
- Chairman of the Main Board and GEM Listing Committee of The Stock Exchange of Hong Kong Ltd (2006-2008) and member of the Listing Committee (2002-2006)
- Vice President (2006) and Council Member of the Hong Kong Institute of Certified Public Accountants (2001-2008)
- Hon Treasurer (2001-2003) and Vice Chairman (2003-2007), Hong Kong Chinese Orchestra Limited
- Member, Financial Leaders Forum (2017-2019)
- Member of the Hong Kong Exchange Fund Advisory Committee (2011-2020)
- Board Member, The Hong Kong Airport Authority (2017-2020)
- Director, Aviation Security Co Ltd (2019-2020)
- Member, Human Resources Planning Commission (2018-2022)
- Chairman, Task Force on Enhancing Stock Market Liquidity (2023)

Awards:

- Gold Bauhinia Star by the Hong Kong SAR Government (2019)
- The Outstanding Achievement Award by the ICAEW (2022)
- Doctor of Social Science, honoris causa by EdUHK (2023)
- Doctor of Business Administration, honoris causa by HKUST (2023)
- Honorary Fellowship by Lingnan University (2010)

專題討論(一) Discussion Panel I : 如何促進香港資本市場活力 Boosting Liquidity of Hong Kong Capital Markets

主持人 Moderator:



黃偉誠 先生
Mr Wong Stacey Martin

華富建業國際金融有限公司
首席營運及風控總監
Chief Operating and Risk Officer
Quam Plus International Financial Limited

黃先生是一位資深的投資銀行家，在公募和私募融資以及併購方面擁有豐富的經驗。黃先生持有英國劍橋大學文學碩士學位。黃先生現為華富建業國際金融有限公司首席營運及風控總監、香港資本市場專業人員協會主席、香港上市公司商會委員會成員、數碼港管理有限公司創業家委員會顧問組會員香港網球總會財務委員會成員及香港中樂團理事。

嘉賓 Panelists:



劉伯偉 先生
Mr David PW Lau

摩根大通董事總經理
摩根大通中國投資銀行聯席主管
Managing Director, Co-Head of China Investment Banking Coverage
J.P. Morgan

劉先生負責香港及中國大陸客戶的環球投資銀行策略。他在股票市場、收購合併、中國企業融資和香港投資銀行等領域有多年經驗，參與了許多重要資本市場和並購交易，並與香港監管機構有廣泛的溝通經驗。他是香港證監會房地產投資信託基金委員會委員，並擔任香港政府特首政策組經濟發展專家組成員、香港金融發展局政策研究小組委員和香港大學校務委員會成員。在加入摩根大通之前，他從事私募基金工作，並在2002年至2004年期間在香港聯交所和香港證監會工作。劉伯偉畢業於美國加州大學洛杉磯分校，取得商業經濟學學士學位。



林志成 先生
Mr Gavin Lam

禹銘投資管理有限公司
董事及組合基金經理
Director and the Portfolio Manager
Yu Ming Investment Management Limited

林先生於2005年加入禹銘投資管理有限公司，擔任董事和組合基金經理，負責遵守證監會規定，涵蓋證券交易、提供證券意見、機構融資意見和資產管理等監管活動。他監督禹銘的資產管理業務，制定投資理念，發掘上市和非上市證券的投資機會，並參與企業融資服務。他還是大禹金融控股有限公司（股票代碼：1073 HK）的執行董事，大禹是禹銘的母公司，香港上市。林先生在禹銘擁有超過17年的資產管理和企業融資經驗。之前在東亞銀行有限公司的企業和銀團貸款部門工作。他於2002年畢業於香港中文大學，獲得工商管理學士學位，並於2009年9月獲得特許財務分析師資格認證。

專題討論(一) Discussion Panel I : 如何促進香港資本市場活力 Boosting Liquidity of Hong Kong Capital Markets



陳昊飛 博士
Dr Haofei Chen

海通國際研究有限公司
董事總經理 股票研究部主管
Managing Director, Head of Equity Research Department
Haitong International Research Limited

陳博士2001年加入中金，從事策略研究；2005-06年在高盛香港擔任執行董事，開始電信行業研究；並在2007年擔任工信部下的TD技術論壇秘書長；2008-16年回中金，擔任董事總經理，負責TMT行業研究。2016-19年，創立人民幣PE晨山資本。2019加入海通國際，任研究部主管，環球市場部副主管。陳博士畢業於北京大學和杜克大學。



李建平 先生
Mr Christophe Lee

新鴻基資本副行政總裁
Deputy CEO
Sun Hung Kai Capital Partners

李先生於2023年11月加入新鴻基公司旗下基金管理平台Sun Hung Kai Capital Partners，擔任副行政總裁，負責另類資產管理和家族辦公室解決方案。他在亞洲擁有超過32年的金融和資產管理經驗，曾任IDEG資產管理（香港）有限公司CEO，以及在新鴻基金融、摩根士丹利和高盛擔任高級職位。他還是蓮華資產管理公司的創始人兼CEO。在香港資產管理行業中，他扮演積極角色，曾擔任香港另類投資管理協會主席長達8年，被香港特區政府任命為證監會蒙任委員會成員，以及金融發展局成員。Christophe畢業於美國賓夕法尼亞大學。

專題討論(二) Discussion Panel II : 如何提升香港作為國際資產管理中心的競爭力 Enhancing the Role of Hong Kong as an International Asset Management Centre

主持人 Moderator:



陳健 先生
Mr Kin Chan

亞皆老街管理有限公司創始人
Founder
Argyle Street Management Limited

陳先生是 Argyle Street Management Limited 的創始股東，自2002年成立以來一直擔任首席投資官。此外，他還擔任TIH Limited (T55.SP) 的主席、OUE Limited (LJ3.SP) 的非執行非獨立董事、中信資源控股有限公司(1205.HK) 的非執行董事、先鋒環球集團有限公司(224.HK) 的獨立非執行董事以及PT Lippo Karawaci Tbk (LPKR.IJ) 的專員(Commissioner)。在2000年至2001年期間，陳先生擔任Lazard Asia Limited的首席執行官兼董事總經理。在加入Lazard之前，他於1992年至1999年在高盛(Goldman, Sachs & Co.)擔任執行董事，先後在香港、紐約和新加坡工作。陳先生曾參與完成位於中國、香港、印度、印尼、韓國、新加坡和泰國的交易。

嘉賓 Panelists:



李惟宏 議員
The Hon. Robert Lee Wai-wang

香港特別行政區立法會
Functional Constituency - Financial Services

李先生為現任立法會議員(功能界別—金融服務界)，同時亦擔任大唐資本控股有限公司行政總裁。李先生從事金融服務行業超過20年，涵蓋經紀業務、資產管理及企業融資等領域，並出任香港證券業協會永遠名譽會長、金銀業貿易場副理事長、香港中華總商會常務會董及工商及社會事務委員會主席、香港交易及結算所有限公司現貨市場諮詢小組及政府促進股票市場流動性專責小組成員。李先生亦曾參與香港證券及期貨事務監察委員會程序覆檢委員會及公司法改革常務委員會。他分別取得美國巴德學院學士學位和美國賓夕法尼亞大學碩士學位。



董一岳 博士
Dr Rocky Tung

香港金融發展局總監及主管 (政策研究)
Director, Head (Policy Research)
Financial Services Development Council

董博士現為香港金融發展局(金發局)總監及政策研究主管，負責政策研究和行業調查，為香港政府和金融監管機構制定建議方案。他在特許金融分析師協會擔任資本市場政策總監，負責亞太區資本市場結構相關研究。在香港總商會擔任高級經濟師期間，他負責宏觀經濟和勞動市場與國際貿易相關的政策研究。董博士持有美國波士頓東北大學博士學位，並具有澳洲資深註冊會計師的資格。他還擔任廉政公署社區關係市民諮詢委員會委員，並在香港海關青年發展計劃管理委員會和FinanceAsia的編輯委員會擔任職務。

專題討論(二) Discussion Panel II : 如何提升香港作為國際資產管理中心的競爭力 Enhancing the Role of Hong Kong as an International Asset Management Centre



吳煒樑 博士
Dr Anthony Ng

HKVAX 聯合創始人兼行政總裁
Co-Founder & CEO
Hong Kong Virtual Asset Exchange Limited (HKVAX)

吳博士擁有20多年金融經驗，專攻金融科技和虛擬資產。他在多家金融機構擔任要職，包括摩根士丹利、摩根大通和萬方資產管理。作為中興期貨國際部的前董事總經理，吳博士提供全球電子交易支持。他擁有南澳大利亞大學的博士學位、香港中文大學的研究碩士學位和香港浸會大學的工商管理學士學位。他在人工神經網絡、演算法交易和交易系統方面進行了廣泛的研究，對金融創新有獨特見解。



莊天龍 先生
Mr Benny Chong

鼎珮集團主席
Chairman
VMS Group

莊先生是鼎珮集團的始創人兼主席，負責集團的整體業務管理及制定企業策略。他於2006年在香港創辦鼎珮，鼎珮是一家實力雄厚的多元策略金融服務集團，主要圍繞三大另類投資策略，包括私募股權、房地產和結構性融資。莊先生在金融業界擁有超過25年的從業經驗和專業知識。在創辦鼎珮前，他曾於多家環球金融機構工作，並累積了深厚的全球投資及資產管理的成功經驗。此外，他在中國、香港及國際市場也擁有廣泛的企業管理和經驗。莊先生持有加拿大多倫多大學商業學士學位及香港科技大學投資管理理學碩士學位。同時，他擁有特許金融分析師 (CFA) 資格。



伍尚仁 先生
Mr Ronnie Wu

盆景資產管理聯合創辦人兼首席投資官
CIO and Co-Founder
Penjing Asset Management

伍先生於2005年創立盆景資產管理（亞洲）有限公司，擔任首席投資官。他負責監督投資和整體業務方向，管理超過4億美元的資金。在資產管理領域有超過31年的經驗。伍先生曾共同創立源富投資管理（亞洲）公司，並擔任投資主管，管理資產達20億美元。在此之前，他在瑞銀全球資產管理（香港）公司擔任聯席董事，管理對沖基金母基金。伍先生擁有美國波特蘭大學金融學士學位和美國聖地牙哥州立大學金融工商管理碩士學位。



譚新強 先生
Mr Eddie Tam

中環資產投資基金 (CAI) 創辦人、行政總裁兼投資總監
CEO, CIO
Central Asset Investments

譚先生於2005年創立中環資產投資基金，擔任行政總裁兼投資總監，負責宏觀分析和資產配置管理。他在金融業擁有30年經驗，被公認為亞洲股票衍生品領域的先驅。曾在Credit Lyonnais和Merrill Lynch擔任衍生工具銷售和市場推廣職位。他持有耶魯大學管理學院碩士學位和多倫多大學電子工程學士及碩士學位。譚先生是耶魯大學管理學院大中華顧問委員會和國際金融中心的董事，並入選《亞洲投資者》2010年亞洲對沖基金25位最具影響力的人之一。他撰寫《明報》專欄，主持YouTube Sun Channel的《譚新強世界 ZOOM》節目。此外，他經常在國際和中國主要媒體上分享經濟和投資觀點，解讀地緣政治和外交政策。

主辦機構介紹 Introduction of the organizers

香港上市公司審核師協會
Hong Kong Association of Registered
Public Interest Entity Auditors Limited
(PIEAA)

PIEAA

香港上市公司審核師協會有限公司
Hong Kong Association of Registered
Public Interest Entity Auditors Limited

香港上市公司審計師協會是一個致力於提升審計專業水準和維護行業利益的組織。該協會由香港註冊會計師和審計師組成，為會員提供了一個平臺，用於交流、培訓和資源支援。作為代表審計師利益的聲音，協會與政府、監管機構和其他利益相關方進行溝通和協商，宣導建立健全的審計制度和政策，並維護行業的聲譽和權益。通過提升專業標準、促進交流與合作、提供資源和指導，以及維護行業利益，香港上市公司審計師協會為會員創造了一個有利於高品質和可靠性的審計工作環境。

詳情請瀏覽：<https://www.facebook.com/PIEAA.HK/>

Hong Kong Association of Registered Public Interest Entity Auditors Limited (PIEAA) is an organization dedicated to enhancing the standards of the auditing profession and safeguarding the interests of the industry. The association consists of registered accountants and auditors in Hong Kong and provides a platform for members to exchange ideas, receive training, and access resources. As the voice representing the interests of auditors, the association communicates and negotiates with the government, regulatory bodies, and other relevant stakeholders to advocate for the establishment of sound auditing systems and policies and to uphold the reputation and rights of the industry. By raising professional standards, promoting collaboration and communication, providing resources and guidance, and safeguarding the interests of the industry, the PIEAA creates an environment conducive to high-quality and reliable auditing work for its members.

For more information, please visit: <https://www.facebook.com/PIEAA.HK/>

主辦機構介紹 Introduction of the organizers

香港上市公司商會
The Chamber of Hong Kong Listed
Companies (CHKLC)



香港上市公司商會為非牟利組織，於2002年9月正式註冊成立，為香港上市公司及其他相關機構提供服務。商會致力推廣企業管治；擔當上市公司與有關監管機構之間的溝通角色；加強香港上市公司之間的聯繫；促進本港與內地上市公司之間的合作，維護香港作為國際金融及集資中心的地位。商會自2007年每年與香港浸會大學聯合頒發「香港公司管治與環境、社會及管治卓越獎」，以表揚具有公司管治與ESG卓越表現的上市公司。

詳情請瀏覽：<https://www.chkcl.org>

The Chamber of Hong Kong Listed Companies is a non-profit organization registered in September 2002 to serve Hong Kong listed companies and other related institutions. The chamber is committed to promoting corporate governance, acting as a communication bridge between listed companies and regulatory bodies, strengthening connections among Hong Kong listed companies, promoting cooperation between Hong Kong and Mainland listed companies, and maintaining Hong Kong's position as an international financial and fundraising center. Since 2007, the chamber has been jointly presenting the "Hong Kong Corporate Governance and Environmental, Social, and Governance (ESG) Excellence Awards" with the Hong Kong Baptist University to recognize listed companies with outstanding performance in corporate governance and ESG.

For more information, please visit: <https://www.chkcl.org>

主辦機構介紹 Introduction of the organizers

香港董事學會 The Hong Kong Institute of Directors (HKIoD)



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使命：香港董事學會（簡稱“HKIoD”，又以下簡稱「學會」）為香港代表董事共同努力的首要組織，其宗旨是促進企業永續發展職能，為所有公司、其擁有者、持份者、人類以至地球創造持久價值；為達成使命，學會致力於企業管治及董事專業行為上的倡導及釐定相關標準。

學會於1997年由創會主席鄭慕智博士帶領下創始，多年來非常榮幸獲得香港特別行政區行政長官擔任學會贊助人。學會會員來自不同行業及公司類別，並包括執行董事、非執行董事、獨立非執行董事。學會以多元文化及國際視野進行會務，舉辦活動包括董事培訓與支援、相關研討會與論壇、董事立場喉舌、資訊分享、指引設定、持份者關係、公眾教育、「傑出董事獎」認可、「香港公司管治水平」釐定等。

作為代表15萬名董事之「董事學會環球網絡」（Global Network of Director Institutes 簡稱“GNDI”）的成員組織，學會投入全球性聯手推動優秀企業管治及董事專業行為。學會亦獲委派代辦「氣候管治行動」（Climate Governance Initiative）之香港分部，該行動是與「世界經濟論壇」（World Economic Forum）合作的環球網絡，積極促進董事們於各董事會的議程上處理氣候變化。

Mission: The Hong Kong Institute of Directors (“HKIoD”) is Hong Kong’s premier body representing directors working together to advance corporate sustainability in creating long-term value for companies, their owners, stakeholders, humankind and Planet Earth through advocacy and standards-setting in corporate governance and director professionalism.

Led by Founder Chairman Dr The Hon Moses Cheng, HKIoD was founded in 1997. Throughout the years, HKIoD is honoured to have the Chief Executive of HKSAR as the Institute’s Patron. Membership of HKIoD comprises of directors from diverse industries and corporate types and includes Executive Directors, Non-Executive Directors and Independent Non-Executive Directors. With multi-culturalism and international perspectives, HKIoD organises activities that cover director training and support, related seminars and forums, collective director voice, information sharing, guideline establishment, stakeholder relations, public education, recognition of Directors Of The Year, publication of Hong Kong Corporate Governance Scorecard etc.

As a member body of the Global Network of Director Institutes (“GNDI”), which represents 150,000 directors, HKIoD is committed to global collaboration in the promotion of good corporate governance and professional director practice. HKIoD is the appointed Host of the Hong Kong Chapter of Climate Governance Initiative. The Initiative is an international network working in collaboration with the World Economic Forum in actively promoting directors’ address of climate change in board agendas.



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About HKCMP

Founded by capital market professionals, HKCMP aims to promote Hong Kong as a global capital market. It fosters a diverse and inclusive business environment and supports small and medium-sized enterprises to thrive in the Hong Kong market by acting as a vital link between the market and regulatory bodies.

What we do

Amidst changing international market dynamics, HKCMP strives to restore Hong Kong Capital Market's global prominence. We represent overlooked intermediaries, fostering meaningful dialogue with the Government, Hong Kong Exchanges and Clearing Limited, and the Securities Futures and Commission. This collective action and unbiased dialogue are crucial to revive the market, ensuring the continued prosperity of Hong Kong's financial services sector and creating opportunities for local youth. Let's unite to support Hong Kong's enduring prosperity as a global financial hub.

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Check out our LinkedIn and article in HK business to learn more about us:

LinkedIn: www.linkedin.com/company/hkcapitalmarkets/

Article: <https://hongkongbusiness.hk/markets/news/hkcmp-urges-collective-effort-improve-local-capital-market>



關於HKCMP

HKCMP由資本市場專業人士創立，旨在推動香港成為全球資本市場。它透過作為市場與監管機構之間的重要紐帶，創造多元化和包容性的營商環境，支持中小企業在香港市場蓬勃發展。

我們的工作

面對瞬息萬變的國際市場動態，香港資本市場致力於恢復香港資本市場的全球地位。我們代表被忽視的中介機構，促進與政府、香港交易及結算所有限公司及證券期貨及事務監察委員會進行有意義的對話。這種集體行動和公正的對話對於振興市場、確保香港金融服務業的持續繁榮和為本地青年創造機會至關重要。讓我們團結起來，支持香港作為全球金融中心的持久繁榮。

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Check out our LinkedIn and article in HK business to learn more about us:

LinkedIn : www.linkedin.com/company/hkcapitalmarkets/

Article: <https://hongkongbusiness.hk/markets/news/hkcmp-urges-collective-effort-improve-local-capital-market>





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1968年，安永開始譜寫在大中華區逾半個世紀的熠熠長卷。半個多世紀以來，我們為客戶提供卓越的全方位專業服務，成為眾多大中華區客戶值得信賴的合作機構和資深專業顧問。我們以一流人才、創新精神，堅守社會責任，引領高質量可持續發展，取得了今天的成功。

華章思源，匠心致遠。在未來，安永將繼續發揮專業優勢，構築共益生態，建立資本市場信任，積極推動大中華區可持續發展。





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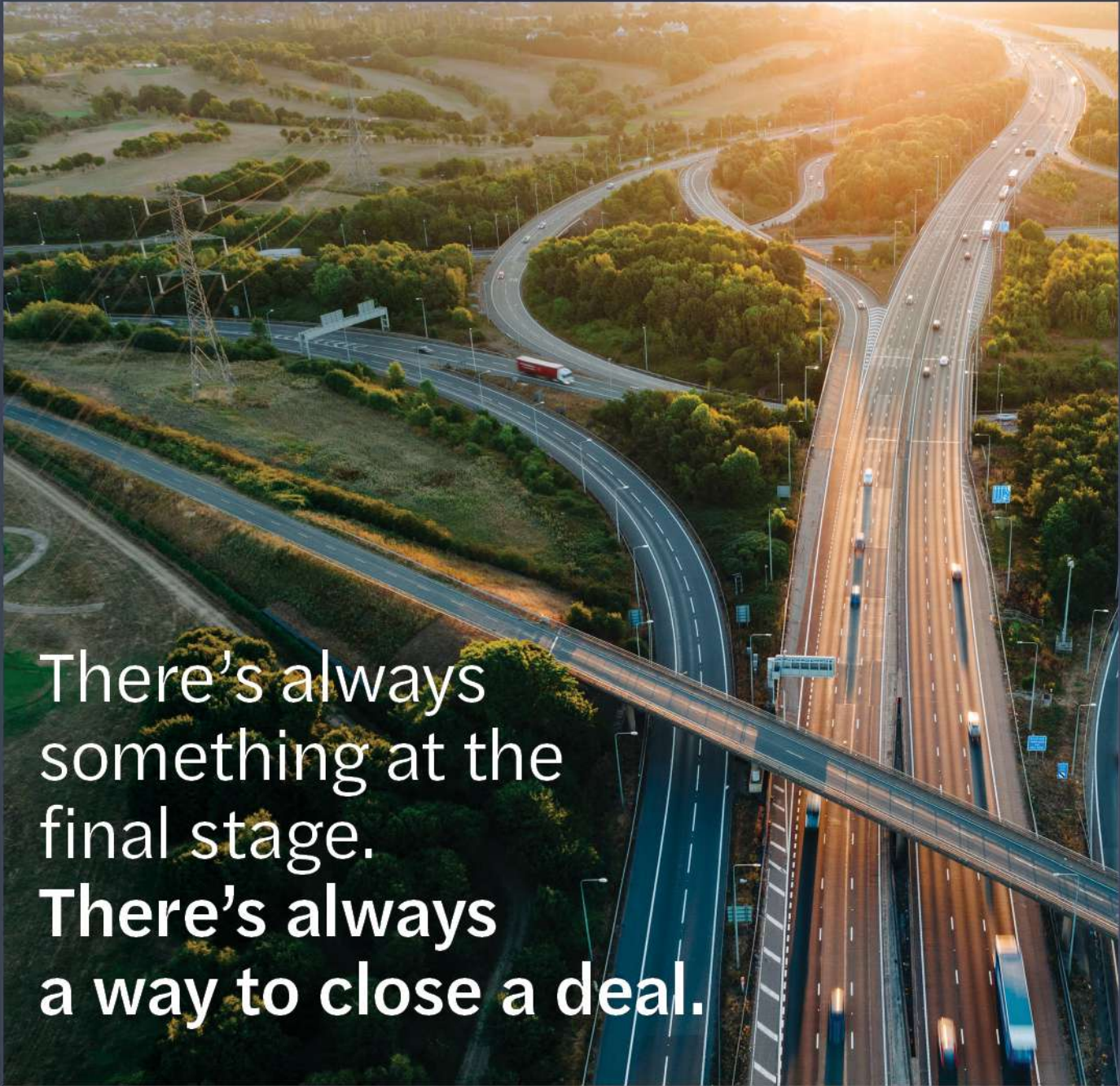
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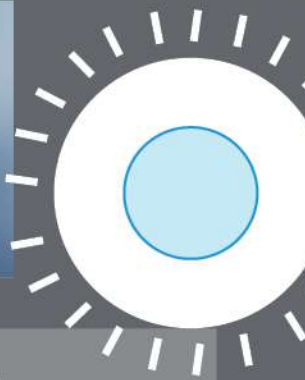


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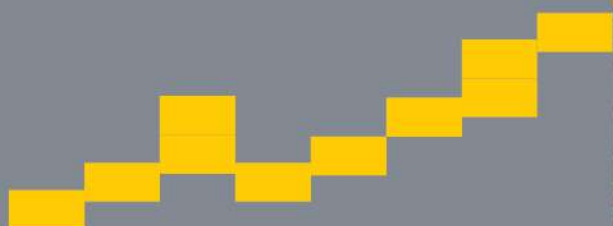
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